

SOWELL CYBERSECURITY DISCLOSURE

We at Sowell Management (Sowell) strive to retain and secure all data utilized by Sowell Management, our Advisors, and their clients. We employ technologies that provide end-to-end security from the advisor to our on-premises server and beyond. The most vital implementation of security begins with our employees. We provide initial and remedial awareness training and standard operating procedures to equip our employees with best practices to avoid social engineering attacks, ransomware, and phishing schemes. We also provide our employees and our advisors with easy-to-operate tools to report such attempts to our security team for review.

Behind the scenes, Sowell Management utilizes the best anti-virus that continuously searches for and prevents threats from occurring before they start. The anti-virus updates its database and learns how to detect and prevent new and unique threats that happen to other software and businesses to stay ahead of the adversaries giving us the leading edge of protection.

Sowell employs strong encryption for data at rest and data on the move. Installing Bitlocker on our devices ensures that any physical device access will render no useable data to unauthorized personnel. Every device is equipped with the capability to be remotely wiped as a precaution in the case of theft. All devices come equipped with VPN services to hide their activity from unauthorized onlookers while working away from the Sowell Management office.

All Sowell Employees and advisors are required to use and equipped with multi-factor authentication to access any information system. We deployed Duo mobile, Google Authenticator, and Microsoft Authenticator to ensure that only the authorized personnel can log in to these systems. We also enforce a geo-location-based login. If an unusual sign-in occurs from a vastly different region, the account is locked, and the security team is immediately notified.

Nothing is more important at Sowell than maintaining and growing the trust our clients have placed in our Advisors and us. Sowell is grateful and humbled by the trust placed in us by our clients to help them achieve their financial goals and protect their personal information. We have worked conscientiously toward this mandate in the over 20 years that Sowell has been in business. We instill security into every layer of our business, from our technology to culture.